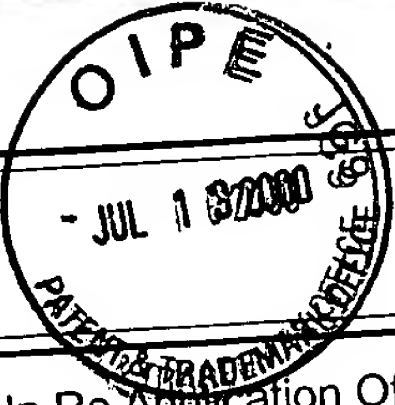


GAU 2858



TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
11675.168

In Re Application Of: Leonard E. Mess

Serial No.  
09/123,633

Filing Date  
July 28, 1998

Examiner  
V. Nguyen

Group Art Unit  
2858

Title: THERMALLY CONDUCTIVE INTERPOSER AND METHOD

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal of Information Disclosure Statement (2 pgs); Supplemental Information Disclosure Statement (2 pgs);  
Form PTO-1449; Copies of References; Promptness Certification (2 pgs); Postcard

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature


Bradley K. DeSandro, Reg. No. 34,521

Dated: June 30, 2000



22901  
PATENT TRADEMARK OFFICE

CC:

|  |  |
|--|--|
| I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. |  |
|   |  |
| Signature of Person Mailing Correspondence   |  |
| Peggy R. Huft  |  |
| Typed or Printed Name of Person Mailing Correspondence   |  |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11675.168

In Re Application Of: Leonard E. Mess

Serial No.  
09/123,633

Filing Date  
July 28, 1998

Examiner  
V. Nguyen

Group Art Unit  
2858

Title: **THERMALLY CONDUCTIVE INTERPOSER AND METHOD**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11675.168

In Re Application Of: Leonard E. Mess

Serial No.  
09/123,633

Filing Date  
July 28, 1998

Examiner  
V. Nguyen

Group Art Unit  
2858

Title: **THERMALLY CONDUCTIVE INTERPOSER AND METHOD**

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_) on \_\_\_\_\_  
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Signature

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Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Bradley K. DeSandro, Reg. No. 34,521

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on July 3, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Peggy R. Huft

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Correspondence

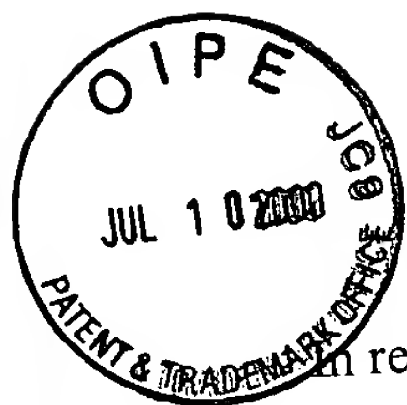
Dated: June 30, 2000



22901

PATENT, TRADEMARK OFFICE

CC:



PATENT APPLICATION  
Docket No: 11675.168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Leonard E. Mess

Serial No.: 09/123,633

Filed: July 28, 1998

For: THERMALLY CONDUCTIVE INTERPOSER  
AND METHOD

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7-14-00*

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

RECEIVED

JUL 13 2000  
TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

| <u>U.S. Patents</u> | <u>Date</u> | <u>Name</u>     | <u>Class</u> | <u>Sub Class</u> |
|---------------------|-------------|-----------------|--------------|------------------|
| 5,530,376           | 6/96        | Lim et al.      | 324          | 765              |
| 5,915,755           | 6/99        | Gochmour et al. | 29           | 843              |
| 5,678,301           | 6/99        | Gochmour et al. | 29           | 827              |

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 30 day of June 2000.

Respectfully submitted,



BRADLEY K. DESANDRO  
Attorney for Applicant  
Registration No. 34,521

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
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Facsimile: (801) 328-1707

BKD:prh

Enclosures

Docket: 11675.168

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PATENT APPLICATION  
Docket No.: 11675.168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Leonard E. Mess

Serial No.:

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For:

THERMALLY CONDUCTIVE INTERPOSER  
AND METHOD

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PROMPTNESS CERTIFICATION  
UNDER 37 C.F.R. § 1.97(e)

RECEIVED  
JUL 13 2000  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application more than three (3) months prior to the filing of this statement, or, to the knowledge of the undersigned attorney of record after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three (3) months prior to the filing of this statement.

Dated this 30 day of June 2000.

Respectfully submitted,



BRADLEY K. DESANDRO  
Attorney for Applicants  
Registration No. 34,521

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800  
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BKD:prh

Docket: 11675.168

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